

Proposing a Trial in Home Based Cardiac Rehabilitation Programmes – A way to effectively tackle modifiable vascular risk factors?

Neil Heron BSc (Med Sci) Hons, MBChB, DRCOG, Diploma in Mental Health (Distinction), DCH, M. ECOSEP, DipSEM(UK&I), MRCGP, MPhil, MFSEM (UK and Ireland)

Correspondence to: Neil Heron: n.heron02@qub.ac.uk

ABSTRACT

Stroke is a major cause of mortality and morbidity that may be prevented by early intervention following a transient ischaemic attack (TIA). How to optimise such prevention, however, remains unknown. This paper therefore argues for a randomised controlled trial to assess the effectiveness of a home-based cardiac rehabilitation programme, based on the 'Heart Manual', begun within 2 weeks of a TIA, compared to usual care. Potential benefits for patients could include improved well-being and reduced risk of further vascular events, including stroke.

Key Words: Vascular disease; home-based cardiac rehabilitation programmes; Transient ischaemic attacks; the 'Heart Manual'; secondary prevention.

Introduction

With services overburdened by growing demand and restricted supply, there is an opportunity for health creation by providing an adapted home-based cardiac rehabilitation programme for patients who have recently suffered a transient ischaemic attack (TIA). This approach may improve the accessibility and sustainability of health services in the UK by using an already established treatment for this patient group as well as reducing their future risk of developing further vascular events.

Stroke prevalence, impact and risk

Stroke killed 5.7 million people worldwide in 2005 and is estimated to cause 6.5 million deaths in 2015¹, with stroke survivors often being left with considerable disability²⁻³. In 2006, approximately 1,700 TIAs and 4,000 strokes occurred in Northern Ireland alone⁴. TIA is defined as "a transient episode of neurological dysfunction caused by focal brain, spinal cord or retinal ischaemia, without acute infarction"⁵⁻⁶. The costs associated with both the acute hospitalisation and long-term follow-up care of patients with a past medical history of stroke is huge. Importantly, many strokes are preceded by TIAs, particularly within the first 90 days³. Therefore the immediate period after a TIA is a crucial time to intervene to reduce the

risk of stroke and interventions (drug and non-drug) in this period have been the focus of much clinical research.

Stroke risk following a first TIA

The 90-day risk of vascular events following a TIA, excluding events within the first week after diagnosis when the risk is highest, is 18%⁵. The ABCD² score in TIA patients is used to identify the future risk of stroke³. The ABCD² score consists of the following:

Elements of ABCD ² score	Points
Age 60 years or above	1
Blood pressure 140/90mmHg or above on first evaluation	1
Clinical symptoms of focal weakness with spell Or, speech impairment without weakness	2, OR 1
Duration of 60 minutes or more or 10-59 minutes	2, OR 1
And Diabetes	1

The presence of a new infarct on brain imaging, indicating that the patient has actually had a stroke, places the patient at higher risk of a further stroke within the first 90 days⁶. Therefore, intense medical input is useful to help triage those patients most at risk of a stroke and subsequently direct patients towards appropriate secondary prevention.

Secondary prevention reduces risk of second stroke

Immediate assessment of TIA patients following the initial event, with initiation of secondary prevention, focusing on pharmacological interventions, can reduce the 90 day risk of stroke to 2% within the research setting⁷. These results have however not been replicated within routine practice⁸. Typical drug therapy initiated post-TIA event includes anti-platelets (aspirin, dipyridamole, and/or clopidogrel), statins and anti-hypertensives, if required.

Despite the benefits of drug therapy, non-drug approaches are of vital importance and, alongside reducing stroke risk post-TIA, may promote patient independence and engagement with their own health. Indeed, evidence is growing regarding the contribution of change in modifiable risk factors to reductions in cardiovascular deaths and there is a need to consider how to promote non-pharmacological measures within secondary prevention.

VO₂max links with stroke risk and exercise

Cardiorespiratory fitness, measured by VO₂max, is inversely correlated with mortality⁹⁻¹², the progression of carotid atherosclerosis¹³ and the risk of stroke. An increase in VO₂max of 3.5 ml/kg/min was associated with a 17% decrease in stroke risk¹⁴, with similar findings noted in a meta-analysis¹⁵. Aerobic exercise can increase VO₂max by up to 30% in sedentary persons¹⁶ and sub-acute stroke survivors who participated in a twelve week supervised exercise programme demonstrated an increase in VO₂max of over 1ml/kg/min from baseline¹⁷.

However there is an absence of published data linking post-TIA exercise to change in subsequent stroke risk.

Pedometers promote exercise

One method of promoting exercise and potentially improving VO₂max is through the use of pedometers¹⁸. Pedometers are small waist-borne instruments that count the number of steps taken by the subject. Pedometers have been shown to be accurate and reliable in measuring ambulatory activity and their use has been suggested to increase patient engagement in exercise¹⁹⁻²². Pedometers appear feasible for use by patients with stroke although their accuracy at slow walking speeds has been questioned²³⁻²⁴. No reports have been identified regarding the use of pedometers as a physical activity promotion tool by patients with TIA or within the acute stroke setting and indeed a recent systematic review on the role of exercise post-stroke, has highlighted the lack of studies in the acute and sub-acute periods²⁵.

Increasing steps per day by between 2,500-3,000 leads to weight loss and some reductions in blood pressure^{20, 26-27}. Physical activity public health recommendations have been translated into pedometer targets²⁶, with 100 steps per minute congruent with moderate-intensity activity 20 and 130 steps per minute considered vigorous intensity activity²⁶. Pedometers can be used to give visual feedback to patients on their physical activity intensity as well as the total number of steps taken and the duration of activity.

Underlying pathological mechanism and risk factors for TIA

TIAs and strokes are most commonly caused by the embolic or thrombotic consequences of atherothrombotic disease²⁸⁻²⁹, which is similar to the underlying pathological mechanism for cardiovascular disease³⁰⁻³². As well as sharing a similar underlying pathological mechanism, cerebrovascular and cardiovascular disease share common underlying risk factors^{31,33} and there is a high prevalence of asymptomatic coronary artery disease post-TIA^{5, 32-35}. Atrial fibrillation (AF) is also a common cause of stroke, with AF being more common in those with ischaemic heart disease.

The modifiable risk factors for all vascular diseases include smoking, excessive alcohol intake, physical inactivity, dietary factors, hypertension, dyslipidaemia, diabetes, and obesity³⁶ as well as low VO₂max^{9, 10-12, 37}. Thus there are several lifestyle interventions that might contribute to a substantial reduction in the risk of vascular events post-TIA and there is evidence that the earlier these interventions can be introduced, the better the outcome^{7-8, 38-39}. National guidelines also state that TIA patients should be reviewed in a specific clinic within one week of the diagnosis⁸.

Tackling modifiable vascular risk factors with cardiac rehabilitation

Although cardiovascular and cerebrovascular disease share common underlying pathological mechanisms and risk factors, cardiac rehabilitation for secondary prevention is only offered to patients in the UK who have suffered specific cardiovascular events, e.g. myocardial infarction⁴⁰. NICE (National Institute for Health and Clinical Excellence) have recommended that the components of cardiac rehabilitation should include exercise, health education and

stress management⁴⁰. Health education would include addressing the known modifiable vascular risk factors as well as advice regarding work, mental health and sexual activity⁴⁰⁻⁴¹. These components are all addressed in the “Heart Manual”, a home-based cardiac rehabilitation programme.⁹

The “Heart Manual” is the only validated home-based cardiac rehabilitation programme supported by NICE for patients who have had a myocardial infarction (MI)⁴². It is based on the Health Belief Model of behaviour change theory and uses cognitive behavioural techniques, including goal setting and its use has been associated with reductions in depression, anxiety and cholesterol levels and improved quality of life⁴³. The “Heart Manual” has also been associated with reductions in blood pressure, improved exercise capacity and smoking cessation rates which are comparable to those achieved with hospital-based cardiac rehabilitation programmes⁴⁴. The “Heart Manual” has been shown to strengthen illness control beliefs⁴⁵ and increase confidence in recovery and self-perceived progress⁴⁶.

Cardiac rehabilitation after a MI results in a statistically significant reduction in re-infarction, cardiac mortality, and all-cause mortality⁴⁷ and these conclusions were similar to a recent Cochrane Review⁴⁸. Furthermore, a five-week cardiac rehabilitation programme improves VO₂max by 3-4 ml/kg/min in low risk post-MI patients⁴⁹, which is supported by an earlier study⁵⁰, which would have a positive impact on stroke risk following a TIA and improve the patient’s quality of life.

A Cochrane Review demonstrated hospital-based and home-based cardiac rehabilitation programmes, most of which used the “Heart Manual”, can result in similar health gains⁵¹, with home-based programmes improving adherence to the programme⁵². Moreover, home-based cardiac programmes have shown longer-term sustainability of health benefits compared with hospital-based programmes⁵³. Thus there is strong evidence to support the use of a home-based programme in a patient population with cerebrovascular disease, which shares underlying pathological mechanisms and risk factors.

The ‘Heart Manual’ addresses each of the modifiable vascular risk factors, managing one risk factor each week, whilst encouraging the patient to slowly increase their activity over the six week programme in the setting of their home and addressing the issues of anxiety and depression following the diagnosis of TIA. The manual therefore involves education and motivation of the patient to address these risk factors. The manual is aided by a facilitator who contacts the user at approximately 1 and 4 weeks and helps the user to identify local support resources, for example smoking cessation services, as well as tackling any issues which they may have. The ‘Heart Manual’ utilises the behaviour change techniques of goal setting, barrier identification, setting graded tasks, self monitoring, feedback, relapse prevention and stress management.

Innovation

The author therefore proposes a trial, based in primary care, to assess the effect of a home-based vascular rehabilitation programme with the addition of a pedometer on VO₂max, which is a marker of future risk of vascular events as well as death. The intervention is based

on a home-based cardiac rehabilitation programme, the 'Heart Manual', that has been shown to be effective for patients following myocardial infarction and other cardiac events. This proposal also incorporates the use of a pedometer, as a tool to prompt physical activity and promote longer-term behaviour change, providing a means of objective personal feedback regarding achievement of goals. The proposal also emphasises self-management and patient dignity as the rehabilitation programme will be home-based as well as an early intervention, aiming to initiate this within two weeks of the TIA (after being reviewed in a specialist clinic) to maximise the benefits to patients. This research project therefore has the potential for significant economic impact in the NHS and for impact on patients' quality of life and disability and proves that the UK health systems, as well as the people that work within the NHS, still care about their patients.

Concluding Remarks

Patients who have just suffered a TIA, are at high risk of suffering further vascular events and therefore at high risk of disability and death. From previous research, we know that if we target these patients with immediate secondary prevention, the future risk of vascular events can be reduced although this risk reduction has not been replicated within routine practice. Using the recognised cardiac rehabilitation model within TIA holds much promise, particularly with a home-based approach. If this study of the 'Heart Manual' within the cerebrovascular patient population proves successful, the next stage in knowledge translation will be to refine the intervention design, based on the pilot study findings and to develop an international, multi-centred, randomised controlled trial, of a home-based vascular rehabilitation programme to reduce the subsequent risk of vascular events after suffering a first TIA. This research project therefore has the potential for significant economic impact in the NHS and for impact on patients' quality of life and disability.

References

1. Strong K, Mathers C, Bonita R. Preventing stroke: saving lives around the world. *Lancet Neurology* 2007;6:182-187.
2. Horgan NF, O'Regan M, Cunningham CJ, Finn AM. Recovery after stroke: a 1-year profile. *DISABILITY AND REHABILITATION* 2009;31:831-9.
3. Johnston SC, Rothwell PM, Nguyen-Huynh MN, et al. Validation and refinement of scores to predict very early stroke risk after transient ischaemic attack. *Lancet* 2007;369:283-292.
4. Clinical Resource Efficiency Support Team (CREST). Guidelines for Investigation and Management of Transient Ischaemic Attack. CREST guidelines 2006.
5. Selvarajah JR, Smith CJ, Hulme S, et al. Prognosis in patients with transient ischaemic attack (TIA) and minor stroke attending TIA services in the North West of England: The NORTHSTAR Study. *JOURNAL OF NEUROLOGY NEUROSURGERY AND PSYCHIATRY* 2008;79:38-43.
6. Easton JD, Saver JL, Albers GW, et al. Definition and evaluation of transient ischemic attack: a scientific statement for healthcare professionals from the American Heart Association/American Stroke Association Stroke Council; Council on Cardiovascular Surgery and Anesthesia; Council on Cardiovascular Radiology and Intervention; Council on Cardiovascular Nursing; and the Interdisciplinary Council on Peripheral Vascular Disease. The American Academy of Neurology affirms the value of this statement as an educational tool for neurologists. *Stroke* 2009;40:2276-2293.
7. Rothwell PM, Giles MF, Chandratheva A, et al. Effect of urgent treatment of transient ischaemic attack and minor stroke on early recurrent stroke (EXPRESS study): a prospective population-based sequential comparison. *Lancet* 2007;370:1432-1442.

8. National Institute of Health and Clinical Excellence. Stroke: diagnosis and initial management of acute stroke and transient ischaemic attack (TIA). NICE 2008.
9. Blair SN, Kampert JB, Kohl HW III, Barlow CE, Macera CA, Paffenbarger RS Jr, Gibbons LW. Influences of Cardiorespiratory Fitness and Other Precursors on Cardiovascular Disease and All-Cause Mortality in Men and Women. *Journal of Cardiopulmonary Rehabilitation* 1996;276:205-210.
10. Sandvik L, Erikssen J, Thaulow E, Erikssen G, Mundal R, Rodahl K. Physical fitness as a predictor of mortality among healthy, middle-aged Norwegian men. *New England Journal of Medicine* 1993;328:533 - 537.
11. Ekelund LG, Haskell WL, Johnson JL, Whaley FS, Criqui MH, Sheps DS - The Lipid Research Clinics Mortality Follow-up Study. Physical Fitness as a Predictor of Cardiovascular Mortality in Asymptomatic North American Men. *New England Journal of Medicine* 1988;319:1379-1384.
12. Laukkanen JA, Kurl S, Salonen JT. Cardiorespiratory Fitness and Physical Activity As Risk Predictors of Future Atherosclerotic Cardiovascular Diseases. *Current Atherosclerosis Reports* 2002;4:468-476.
13. Lakka TA, Laukkanen JA, Rauramaa R, Salonen R, Lakka HM, Kaplan GA, Salonen JT. Cardiorespiratory Fitness and the Progression of Carotid Atherosclerosis in Middle-Aged Men. *Annals of Internal Medicine* 2001;134:12-20.
14. Kurl S, Laukkanen JA, Rauramaa R, Lakka TA, Sivenius J, Salonen JT. Cardiorespiratory fitness and the risk of stroke in men. *Archives of Internal Medicine* 2003;163:1682-1688.
15. Kodama S, Saito K, Tanaka S, et al. Cardiorespiratory fitness as a quantitative predictor of all-cause mortality and cardiovascular events in healthy men and women: a meta-analysis.. *Journal of the American Medical Association* 2009;301:2024-35.
16. Seals DR, Hagberg JM, Hurley BF, Ehsani AA, Holloszy JO. Endurance training in older men and women. I. Cardiovascular responses to exercise. *Journal of Applied Physiology* 1984;57:1024-1029.
17. Duncan P, Studenski S, Richards L, Gollub S, Lai SM, Reker D, Perera S, Yates J, Koch V, Rigler S, Johnson D. Randomized Clinical Trial of Therapeutic Exercise in Subacute Stroke. *Stroke* 2003;34:2173-2180.
18. Bjørgaas M, Vik JT, Sæterhaug A, Langlo L, Sakshaug T, Mohus RM, Grill V. Relationship between pedometer-registered activity, aerobic capacity and self-reported activity and fitness in patients with type 2 diabetes. *Diabetes, obesity and metabolism* 2005;7:737-744.
19. Tudor-Locke CE, Myers AM. Methodological considerations for researchers and practitioners using pedometers to measure physical (ambulatory) activity. *Res Q Exerc Sport* 2001;72:1-12.
20. Marshall SJ, Levy SS, Tudor-Locke CE, et al. Translating physical activity recommendations into a pedometer-based step goal: 3000 steps in 30 minutes. *Am J Prev Med* 2009;36:410-415.
21. Chan CB, Spangler E, Valcour J, et al. Cross-sectional relationship of pedometer-determined ambulatory activity to indicators of health. *Obes Res* 2003;11:1563-1570.
22. Tudor-Locke CE, Myers AM. Challenges and opportunities for measuring physical activity in sedentary adults. *Sports Med* 2001;31:91-100.
23. Carroll SL, Greig CA, Lewis SJ, McMurdo ME, Sniehotta FF, Johnston M, Johnston DW, Scopes J, Mead GE. The Use of Pedometers in Stroke Survivors: Are They Feasible and How Well Do They Detect Steps? *Archives of Physical Medicine and Rehabilitation* 2012;93:466-470.
24. Elsworth C, Dawes H, Winward C, Howells K, Collett J, Dennis A, Wade D. Pedometer step counts in individuals with neurological conditions. *Clinical Rehabilitation* 2009;23:171-175.
25. Stoller O, de Bruin E, Knols R, et al. Effects of cardiovascular exercise early after stroke: systematic review and meta-analysis. *BMC Neurology* 2012;12.
26. Tudor-Locke C. Steps to Better Cardiovascular Health: How Many Steps Does It Take to Achieve Good Health and How Confident Are We in This Number? *Curr Cardiovasc Risk Rep.* 2010 July; 4(4): 271–276. 2010;4:271-276.

27. Bravata D, Smith-Spangler C, Sundaram V, et al. Using Pedometers to Increase Physical Activity and Improve Health. *JAMA* 2007;298:2296.
28. Rowe DA, Welk GJ, Heil DP, et al. Stride Rate Recommendations for Moderate-Intensity Walking. *Med Sci Sports Exerc* 2011;43:312-318.
29. Siket MS, Edlow JA. Transient ischemic attack: reviewing the evolution of the definition, diagnosis, risk stratification, and management for the emergency physician. *Emergency medicine clinics of North America* 2012;30:745-770.
30. EPSTEIN S, PALMERI S. Mechanisms contributing to precipitation of unstable angina and acute myocardial infarction: implications regarding therapy. *American Journal of Cardiology* 1984;54:1245-1252.
31. Rothwell P, Coull A, Silver L, et al. Population-based study of event-rate, incidence, case fatality, and mortality for all acute vascular events in all arterial territories (Oxford Vascular Study). *Lancet* 2005;366:1773-1783.
32. Di Pasquale G, Urbinati S, Perugini E, Gambetti S. Interactions Between Cardiovascular and Cerebrovascular Disease. *Current Treatment Options In Neurology* 2012;ePub.
33. Adams RJ, Chimowitz MI, Alpert JS, Awad IA, Cerqueria MD, Fayad P, Taubert KA, Stroke Council and the Council on Clinical Cardiology of the American Heart Association, American Stroke Association. Coronary risk evaluation in patients with transient ischemic attack and ischemic stroke: a scientific statement for healthcare professionals from the Stroke Council and the Council on Clinical Cardiology of the American Heart Association/American Stroke Association.. *Circulation* 2003;108:1278-1290.
34. Touze E, Varenne O, Chatellier G, et al. Risk of myocardial infarction and vascular death after transient ischemic attack and ischemic stroke: a systematic review and meta-analysis. *Stroke* 2005;36:2748-2755.
35. Kate M, Sylaja PN, Chandrasekharan K, et al. Early risk and predictors of cerebrovascular and cardiovascular events in transient ischemic attack and minor ischemic stroke. *Neurology India* 2012;60:165-167.
36. MacKay-Lyons M, Gubitz G, Giacomantonio N, et al. Program of rehabilitative exercise and education to avert vascular events after non-disabling stroke or transient ischemic attack (PREVENT Trial): a multi-centred, randomised controlled trial. *BMC Neurology* 2010;10.
37. Simmons BB, Gadegebeku AB, Cirignano B. Transient Ischemic Attack: Part II. Risk Factor Modification and Treatment.. *American Family Physician* 2012;86:527-532.
38. Wu CM, Manns BJ, Hill MD, et al. Rapid evaluation after high-risk TIA is associated with lower stroke risk. *Canadian Journal of Neurological Sciences* 2009;36:450-455.
39. Masuhr F BM. Primary and secondary prevention of stroke. *Internist (Berl)* 2012.
40. National Institute of Health and Clinical Excellence. CG48 MI; secondary prevention. 2010.
41. ALNOZHA M, BROUSTET J, FALASE A, et al. REHABILITATION AFTER CARDIOVASCULAR-DISEASES, WITH SPECIAL EMPHASIS ON DEVELOPING-COUNTRIES. WHO TECHNICAL REPORT SERIES 1993:1-122.
42. National Institute of Health and Clinical Excellence. Secondary prevention in primary and secondary care for patients following myocardial infarction. NICE 2007.
43. Dalal HM, Evans PH, Campbell JL, et al. Home-based versus hospital-based rehabilitation after myocardial infarction: a randomized trial with preference arms—Cornwall heart attack rehabilitation management study (CHARMS). *International Journal of Cardiology* 2007;119:202-211.
44. Jolly K, Lip GYH, Taylor RS, et al. The Birmingham Rehabilitation Uptake Maximisation study (BRUM): a randomised controlled trial comparing home-based with centre-based cardiac rehabilitation. *Heart* 2009;95.
45. O'Rourke A, Hampson S. Psychosocial outcomes after an MI: an evaluation of two approaches to rehabilitation. *Psychology, Health and Medicine* 1999;4:393-402.

46. Linden B. Evaluation of a home-based rehabilitation programme for patients recovering from acute myocardial infarction. *Intensive Critical Care Nursing* 1995;11:10-19.
47. Lawler PR, Filion KB, Eisenberg MJ. Efficacy of exercise-based cardiac rehabilitation post-myocardial infarction: A systematic review and meta-analysis of randomized controlled trials. *American Heart Journal* 2011;162:571-U25.
48. Heran BS, Chen JMH, Ebrahim S, et al. Exercise-based cardiac rehabilitation for coronary heart disease. *COCHRANE DATABASE OF SYSTEMATIC REVIEWS* 2011.
49. Dressendorfer RH, Franklin BA, Cameron JL, Trahan KJ, Gordon S, Timmis GC. Exercise training frequency in early post-infarction cardiac rehabilitation - influence on aerobic conditioning. *Journal of Cardiopulmonary Rehabilitation* 1995;15:269-276.
50. BLUMENTHAL JA, REJESKI WJ, WALSH-RIDDLE M, EMERY CF, MILLER H, ROARK S, RIBISL PM, MORRIS PB, BRUBAKER P, SANDERS WILLIAMS R. Comparison of High- and Low-Intensity Exercise Training Early After Acute Myocardial Infarction. *American Journal of Cardiology* 1988;61:26-30.
51. Dalal HM, Zawada A, Jolly K, et al. Home based versus centre based cardiac rehabilitation: Cochrane systematic review and meta-analysis. *British Medical Journal* 2010;340.
52. Clark AM, Haykowsky M, Kryworuchko J, et al. A meta-analysis of randomized control trials of home-based secondary prevention programs for coronary artery disease. *EUROPEAN JOURNAL OF CARDIOVASCULAR PREVENTION & REHABILITATION* 2010;17:261-270.
53. Smith K, Arthur H, McKelvie R, et al. Differences in sustainability of exercise and health-related quality of life outcomes following home or hospital-based cardiac rehabilitation. *EUROPEAN JOURNAL OF CARDIOVASCULAR PREVENTION & REHABILITATION* 2004;11:313-319.